

CORPORATE MANAGEMENT ASSURANCE STATEMENT 2013/14

1. A management assurance process has been in place at the Council since 2005/06. During 2012/13 this process was reviewed by the Corporate Governance Group and realigned with the Corporate Risk Register. The process collates assurance provided by senior managers on the key controls in place to manage the authority's most significant (red) risks contained in the Corporate Risk Register.
2. This Corporate Assurance Statement summaries the results of the management assurance exercise. The 89 key controls identified to manage the 11 red corporate risks were assessed by managers and assurance provided on whether they were operating throughout the year and effective in either reducing or managing the risk.
3. Assurance was provided that 87 (98%) of the identified key controls were operating, 4 (5%) of which were effective in reducing corporate risks and 83 (95%) were effective in managing the corporate risks. Of the 11 corporate risks in scope the risk rating of 2 improved during the year, 7 remained the same and 2 deteriorated.
4. A number of factors impacted on the 2 risks that deteriorated during the year and there is not always a direct correlation between controls being in place and operating and an improvement in the level of risk as factors external to the Council, over which it has limited control, may also have an impact on the level of risk e.g. the economic environment; government policy; legislation.

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Corporate Risk No	Description	No Key Controls	No Operating	No Not Operating / Limited assurance	Effective in managing or reducing risk	Risk Profile	Improved / Same / Deteriorated
1	High-profile incident involving a child	12	12	0	Managing	C1:C1:C1:C1	S
4	Ineffective health partnership impacts on the delivery of health and social care outcomes	8	8	0	Managing	B2:B2:B2:B2	S
5	Damage to the reputation of the council and failure to involve and engage with service users	12	12	0	Managing	B2:B3:B2:B2	S
6	Successful legal challenge of a council decision	11	10	1(L)	Managing	C2:C2:C2:C2	S
7	Constraints in recruiting and retaining social workers and their managers	5	4	1(L)	Managing	n/a:B2:B2:B2	S
10	Failure to deliver the school expansion programme	6	6	0	Managing	n/a:C2:C2:C2	S
11	Inadequate H&S Management System	13	13	0	Managing	C2:C2:C2:C2	S
12	Political uncertainty	9	9	0	Managing	C2:B2:B2:B2	I
16	Inadequate IT Service	4	4	0	Managing	n/a:C3:A2:B2	D
18 b	Failure to deliver a balanced MTFS for 2015/16 and 2016/17	4	4	0	Reducing	C2:A1:B1:B1	I
19	Failure to deliver Warmer Homes strategy	5	5	0	Managing	D3:C3:B2:B2	D
	TOTALS	89	87	2 (L)			